

U.S. DEPARTMENT OF LABOR
EMPLOYMENT AND TRAINING ADMINISTRATION

GRANT / AGREEMENT
NOTIFICATION OF
AWARD/OBLIGATION

Under the authority of the *Workforce Investment Act and the American Recovery and Reinvestment Act of 2009 (P.L. 111-5)*, this grant or agreement is entered into between the above named *Grantor Agency* and the following named *Awardee*, for a project entitled - **STATE ENERGY SECTOR PARTNERSHIP (SESP) AND TRAINING GRANTS.**

Name & Address of Awardee:
WISCONSIN DEPARTMENT OF
WORKFORCE DEVELOPMENT
201 E. WASHINGTON AVENUE
MADISON, WISCONSIN 53707

Agreement #: GJ-19915-10-60-A-55
CFDA #: 17.275
Accounting Code: 1630-10-0501840910BD200901840015095HG0R1A0000A00000A00000-
A00200-410023--
Mod Amount: \$6,000,000.00
EIN: 396006449
DUNS #: 809448012

The Period of Performance shall be from **January 29, 2010 thru January 28, 2013.**
Total Government's Financial Obligation is **\$6,000,000.00** (unless other wise amended).
Payments will be made under the Payments Management System, and can be automatically drawn down by the awardee on an as needed basis covering a forty-eight (48) hour period.

In performing its responsibilities under this grant agreement, the awardee hereby certifies and assures that it will fully comply with the following regulations and cost principles, including any subsequent amendments:

Uniform Administrative Requirements:

29 CFR Part 97, for State/Local Governments and Indian Tribes; OR
29 CFR Part 95, for Institutions of Higher Education, Hospitals and other Non-Profit Organizations and Commercial Organizations.

Cost Principles:

OMB Circular A-87, for State/Local Governments and Indian Tribes;
OMB Circular A-21, for Institutions of Higher Education; OR
OMB Circular A-122, for Non-Profit Organizations.
48 CFR Part 31.

Other Requirements (As Applicable):

29 CFR Part 96 and 99, Single Audit Act
29 CFR Part 93, Lobbying Certification
29 CFR Part 37, Nondiscrimination and Equal Opportunity Requirements
29 CFR Part 98, Debarment and Suspension; Drug Free Workplace
20 CFR Part 652 et al., Workforce Investment Act
Wagner-Peyser Act
Grant Award Document, Parts I through IV, and attachments.

The awardee's signature below certifies full compliance with all terms and conditions as well as the above stated grant regulations and certifications, and that this document has not been altered.

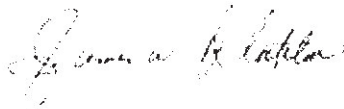
Signature of Approving Official - **AWARDEE**

Signature of Approving Official - **DOL / ETA**

See SF 424 for Signature

(Signature and Date)
No Additional Signature Required

(Type Name and Title)



JAMES STOCKTON February 25, 2010
Grant Officer

Application for Federal Assistance SF-424

Version 02

*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify)

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

*** 5b. Federal Award Identifier:**

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

Wisconsin Department of Workforce Development

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

396006449

*** c. Organizational DUNS:**

809448012

d. Address:

* Street 1: 201 E. Washington Avenue
Street 2:

* City: Madison

County: Dane

* State: Wisconsin

Province:

* Country: USA: United States

* Zip / Postal Code: 53707

e. Organizational Unit:

Department Name:

Department of Workforce Development

Division Name:

Division of Employment and Training

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.

Middle Name: P.

* Last Name: Morgan

Suffix:

* First Name: Karen

Title: Director

Organizational Affiliation:

DWD/DET Bureau of Apprenticeship Standards

* Telephone Number: 608.266.3133

* Email: karen.morgan@dwd.wisconsin.gov

Fax Number: 608.266.0766

Application for Federal Assistance SF-424

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Version 02

*** 9. Type of Applicant 1: Select Applicant Type:**

State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Labor, Employment and Training Administration

11. Catalog of Federal Domestic Assistance Number:

17.275

CFDA Title:

Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors

*** 12. Funding Opportunity Number:**

SGA/DFA PY-08-20

* Title:

State Energy Sector Partnership (SESP) and Training Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

State of Wisconsin-All

*** 15. Descriptive Title of Applicant's Project:**

Wisconsin Sector Alliance for the Green Economy (SAGE)

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

OMB Number: 4040-000
Expiration Date: 01/31/2006

Version 02

16. Congressional Districts Of:

* a. Applicant Wisconsin-All

* b. Program/Project

Wisconsin-All

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: January 1, 2010

* b. End Date: December 31, 2012

18. Estimated Funding (\$):

* a. Federal
* b. Applicant 6,000,000.00
* c. State
* d. Local
* e. Other
* f. Program Income
* g. TOTAL 6,000,000.00

* 19. Is Application Subject to Review By State under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
☒ c. Program is not covered by E.O. 12372

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

* 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Ms.

Middle Name:

*First Name: Roberta

* Last Name: Gassman

Suffix:

* Title: Secretary, Wisconsin Department of Workforce Development

* Telephone Number: 608-267-1410

* Email: roberta.gassman@dwd.wisconsin.gov

Fax Number: 608-266-1784

* Signature of Authorized Representative:

Authorized for Local Reproduction

Date Signed: 10/19/09

Standard Form 424 (Revised 10/2005)
Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424

OMB Number: 4040-0004

Expiration Date: 01/31/2009

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Administrative Costs

Pursuant to 20 CFR 667.210(b), grantees are advised that there is a 10% limitation on administrative costs on funds administered under this grant. In no event, may administrative costs exceed 10% of the total award amount. The cost of administration shall include those disciplines enumerated in 20 CFR 667.220(b) and (c).

Sec. 667.220 What Workforce Investment Act title I functions and activities constitute the costs of administration subject to the administrative cost limit?

(a) The costs of administration are that allocable portion of necessary and reasonable allowable costs of State and local workforce investment boards, direct recipients, including State grant recipients under subtitle B of title I and recipients of awards under subtitle D of title I, as well as local grant recipients, local grant subrecipients, local fiscal agents and one-stop operators that are associated with those specific functions identified in paragraph (b) of this section and which are not related to the direct provision of workforce investment services, including services to participants and employers. These costs can be both personnel and non-personnel and both direct and indirect.

(b) The costs of administration are the costs associated with performing the following functions:

(1) Performing the following overall general administrative functions and coordination of those functions under WIA title I:

- (i) Accounting, budgeting, financial and cash management functions;
- (ii) Procurement and purchasing functions;
- (iii) Property management functions;
- (iv) Personnel management functions;
- (v) Payroll functions;
- (vi) Coordinating the resolution of findings arising from audits, reviews, investigations and incident reports;
- (vii) Audit functions;
- (viii) General legal services functions; and
- (ix) Developing systems and procedures, including information systems, required for these administrative functions;

(2) Performing oversight and monitoring responsibilities related to WIA administrative functions;

(3) Costs of goods and services required for administrative functions of the program, including goods and services such as rental or purchase of equipment, utilities, office supplies, postage, and rental and maintenance of office space;

(4) Travel costs incurred for official business in carrying out administrative activities or the overall management of the WIA system; and

(5) Costs of information systems related to administrative functions (for example, personnel, procurement, purchasing, property management, accounting and payroll systems) including the purchase, systems development and operating costs of such systems.

(c) (1) Awards to subrecipients or vendors that are solely for the performance of administrative functions are classified as administrative costs.

(2) Personnel and related non-personnel costs of staff who perform both administrative functions specified in paragraph (b) of this section and programmatic services or activities must be allocated as administrative or program costs to the benefiting cost objectives/categories based on documented distributions of actual time worked or other equitable cost allocation methods.

(3) Specific costs charged to an overhead or indirect cost pool that can be identified directly as a program cost are to be charged as a program cost. Documentation of such charges must be maintained.

(4) Except as provided at paragraph (c) (1), all costs incurred for functions and activities of subrecipients and vendors are program costs.

(5) Costs of the following information systems including the purchase, systems development and operating (e.g., data entry) costs are charged to the program category:

- (i) Tracking or monitoring of participant and performance information;
- (ii) Employment statistics information, including job listing information, job skills information, and demand occupation information;
- (iii) Performance and program cost information on eligible providers of training services, youth activities, and appropriate education activities;
- (iv) Local area performance information; and
- (v) Information relating to supportive services and unemployment insurance claims for program participants;
- (6) Continuous improvement activities are charged to administration or program category based on the purpose or nature of the activity to be improved. Documentation of such charges must be maintained.